

*Receipt*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:	)	Group Art Unit: TBA
	)	
ANTHONY JOHN PEACH, et al.	)	Examiner: TBA
	)	
Filed: October 15, 2001	)	Attorney Docket: GH 01383
	)	
Serial No.: 09/889,745	)	
	)	
For: ROCK BORING DEVICE	)	Date: March 21, 2002

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
WASHINGTON, D.C. 20231

ATTN: APPLICATION PROCESSING DIVISION'S  
CUSTOMER CORRECTION BRANCH

**REQUEST FOR A CORRECTED FILING RECEIPT**

Sir:

Enclosed, herewith, is a copy of a Filing Receipt that we received from the Patent Office on March 17, 2002 in which the sixth inventor's name is incorrect. The correct spelling should be **SUGDEN, David Burnett**.

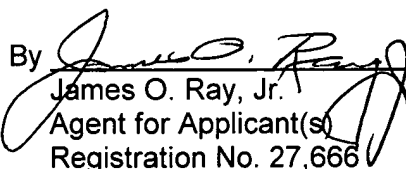
Also, enclosed is a copy of the Declaration we submitted to the Patent Office.

It is, therefore, requested that a corrected Filing Receipt be issued for the above-referenced patent application.

Respectfully submitted,

**RECEIVED**

APR 10 2002

By   
James O. Ray, Jr.  
Agent for Applicant(s)  
Registration No. 27,666

**GROUP 3600**

JAMES RAY & ASSOCIATES  
2640 PITCAIRN ROAD  
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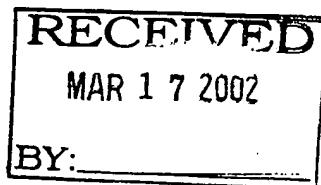
TELEPHONE: 412-380-0725  
FACSIMILE: 412-380-0748



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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/889,745	10/15/2001	3672	565	GH-01383	5	10	1

James Ray & Associates  
2640 Pitcairn Road  
Monroeville, PA 15146



CONFIRMATION NO. 8923  
CORRECTED FILING RECEIPT



\*OC000000007628257\*

Date Mailed: 03/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Anthony John Peach, Tasmania, AUSTRALIA;  
Alwyn Arthur Jones, Tasmania, AUSTRALIA;  
Anton Josep Jurasovic, Tasmania, AUSTRALIA;  
Geoffrey Peter Johnstone, Tasmania, AUSTRALIA;  
Wayne Anthony Cusick, Tasmania, AUSTRALIA;  
David Burnett Segden, Tasmania, AUSTRALIA;

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**GROUP 3600**

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A 371 OF PCT/AU00/00030 01/20/2000

**Foreign Applications**

AUSTRALIA PP 8224 01/20/1999

If Required, Foreign Filing License Granted 03/13/2002

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Rock boring device

**Preliminary Class**

175

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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GRIFFITH HACK

Address: Docket No. GH-01111

MAR 29 2002

## Declaration For U.S. Patent Application

And  
Power of Attorney

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter that is claimed and for which a patent is sought on the invention entitled:

ROCK BORING DEVICE

the specification of which (Check one of blocks 1, 2 or 3)

1 ☐ is attached hereto.2 ☒ was filed on 20 January 2000 as International PCT Application Serial No. PCT/AU00/00010 and was amended on \_\_\_\_\_ (if applicable).3 ☐ was filed on \_\_\_\_\_ as U.S. Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated or from one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

List of Prior Foreign Applications (if applicable)

PP 8224 (Application Number)	Australia (AU) (Country)	20 January 1999 (Day/Month/Year Filed)	CONTINUED COPY ATTACHED? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
_____ (Application Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____ (Application Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

Additional foreign application numbers are listed on the attached sheet, PTO/SB/028 - Supplemental Priority Data Sheet or similar sheet.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

List of U.S. Provisional Applications (if applicable)

_____ (Application Number)	_____ (Day/Month/Year Filed)
_____ (Application Number)	_____ (Day/Month/Year Filed)

Additional provisional application numbers are listed on the attached sheet, PTO/SB/028 - Supplemental Priority Data Sheet or similar sheet.

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of the prior application and the filing date of this application.

List of U.S. Patent Application Or PCT Parent Numbers (if applicable)

_____ (Number)	_____ (Day/Month/Year Filed)	_____ (Status: Abandoned; Pending; Patent Number, if applicable)
_____ (Number)	_____ (Day/Month/Year Filed)	_____ (Status: Abandoned; Pending; Patent Number, if applicable)
_____ (Number)	_____ (Day/Month/Year Filed)	_____ (Status: Abandoned; Pending; Patent Number, if applicable)

Additional U.S. or PCT international application numbers are listed on the attached sheet, PTO/SB/028 - Supplemental Priority Data Sheet or similar sheet.

(37 C.F.R. 1.63 Declaration, Page 1 of 3)

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GROUP 3600

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GRIFFITH HACK

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And I hereby appoint as principal attorneys and agents, James O. Ray, Jr., Reg. No. 27,666; Forest C. Sexton, Reg. No. 22,054; Edmond S. Miksch, Reg. No. 38,558; James R. Stevenson, Reg. No. 38,755; Robert A. Shack, Reg. No. 29,976; Amos Bartoli, Reg. No. 42,299; and Michele Yoder, Reg. No. 41,562.

Please direct all correspondence to the following address:

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U.S.A.  
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Facsimile: (412) 380-0748

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 of Title and that such willful false statements may jeopardize the validity of the application or any patent issue thereon.

Full Name of Sole or First Inventor: PEACH, Anthony John

Inventor's signature: [Signature]

Date: \_\_\_\_\_

Residence: 36 Talone Road, Blackmans Bay, Tasmania 7052 Australia  
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Full Name of Second Inventor: JONES, Alwyn Arthur

Inventor's signature: [Signature]

Date: \_\_\_\_\_

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Full Name of Third Inventor: JURASOVIC, Anton Josip

Inventor's signature: [Signature]

Date: \_\_\_\_\_

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Citizenship: Australian

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Full Name of Fourth Inventor: JOHNSTONE, Geoffrey Peter

Inventor's signature: [Signature]

Date: \_\_\_\_\_

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Citizenship: Australian

Post Office Address: 836 Sandy Bay Road, Sandy Bay, Tasmania 7005 Australia

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GRIFFITH HACK

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2243 8333

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000

Full name of Fifth Inventor: CUSICK, Wayne Anthony

Inventor's signature: *Wayne Cusick*

Date: \_\_\_\_\_

Residence: 13 Tanundal Street, Howrah, Tasmania 7018 Australia  
(Street, City, State, Zip Code, Country)

Citizenship: Australian

Post Office Address: 13 Tanundal Street, Howrah, Tasmania 7018 Australia

Full name of Sixth Inventor: SMYDEN, David Burnett

Inventor's signature: *David Smyden*

Date: \_\_\_\_\_

Residence: 33 Kingston Heights, Kingston Beach, Tasmania 7050 Australia  
(Street, City, State, Zip Code, Country)

Citizenship: Australian

Post Office Address: 33 Kingston Heights, Kingston Beach, Tasmania 7050 Australia

( If Additional Inventors are listed on the attached sheet, PTO/SB/02A - Supplemental Additional Inventor(s) Sheet is similar sheet.

(77 C.F.R. 1.63 Declaration, Page 3 of 3)

03/10 '01 WED 16:48 [TX/RX NO 90131]



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Bib Data Sheet

CONFIRMATION NO. 8923

<b>SERIAL NUMBER</b> 09/889,745	<b>FILING DATE</b> 10/15/2001 <b>RULE</b>	<b>CLASS</b> 175	<b>GROUP ART UNIT</b> 3672	<b>ATTORNEY DOCKET NO.</b> GH-01383
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**APPLICANTS**  
 Anthony John Peach, Tasmania, AUSTRALIA;  
 Alwyn Arthur Jones, Tasmania, AUSTRALIA;  
 Anton Josep Jurasovic, Tasmania, AUSTRALIA;  
 Geoffrey Peter Johnstone, Tasmania, AUSTRALIA;  
 Wayne Anthony Cusick, Tasmania, AUSTRALIA;  
 David Burnett Sugden, Tasmania, AUSTRALIA;

**\*\* CONTINUING DATA \*\*\*\*\***  
 THIS APPLICATION IS A 371 OF PCT/AU00/00030 01/20/2000  
 YMS

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***  
 AUSTRALIA PP 8224 01/20/1999 YMS

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\***  
 \*\* 03/13/2002

Foreign Priority claimed <input checked="" type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> AUSTRALIA	<b>SHEETS DRAWING</b> 5	<b>TOTAL CLAIMS</b> 10	<b>INDEPENDENT CLAIMS</b> 1
35 USC 119 (a-d) conditions met <input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <u>CS</u> Initials				

**ADDRESS**  
 James Ray & Associates  
 2640 Pitcairn Road  
 Monroeville, PA 15146

**TITLE**  
 Rock boring device

<b>FILING FEE RECEIVED</b> 565	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit